



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Docket No: H0004919
DILLEY ET AL.)
)
For: NESTED ATTACHMENT) Group Art Unit : To be assigned
JUNCTION FOR HEAT)
EXCHANGER)
)
)
Serial No.: 10/737380) Examiner: To be assigned
)
Filed: December 12, 2003)

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Hon. Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §1.98, Applicants hereby notify the U.S. Patent of the documents listed below and identified on the attached form PTO/SB/08 for review by the Examiner during the examination of the above referenced application. Copies of the documents are provided for the Examiner's use.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17 (p) is required.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on MARCH 16, 2004
(Date of Deposit)

by

Sheri N. Wilson

3/16/04
(Date)

U.S. PATENT DOCUMENTS		
US PATENT	DATE	NAME
2313315	03/09/1643	Blair
2389175	11/20/1945	Woods
3078551	02/26/1963	Patriarca et al.
3349465	10/31/1967	Pan et al.
3710473	01/16/1973	McElwain et al.
4125280	11/14/1978	Kuzel
4159034	06/26/1979	Bellovary et al.
4192374	03/11/1980	Hayden et al.
4272006	06/09/1981	Kao
4519445	05/28/1985	Norris
4877083	10/31/1989	Saperstein
5407004	04/18/1995	DeRisi et al.
6427768	08/06/2002	Komoda et al.
4157155	06/05/1979	Smith
RE 33528	01/29/1991	Doty
RE 35098	11/28/1995	Saperstein

FOREIGN PATENT DOCUMENTS		
PATENT	DATE	NAME
JP 01019295 A	01/23/1989	Hitachi Ltd.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of the Form PTO/SB/08 be returned in accordance with MPEP §609.

Respectfully submitted,



Ephraim Starr
Reg. No. 41,325
Attorney for Applicants

Date: March 15, 2004
Honeywell International Inc.
Law Department
23326 Hawthorne Boulevard
Suite 200
Torrance, CA 90505
Telephone No.: (310) 791-9120
Fax: (310) 791-9125



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/737380
Filing Date	12-12-2003
First Named Inventor	Dilley et al.
Art Unit	tbd
Examiner Name	tbd
Attorney Docket Number	H0004919

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 2313315	03/09/1943	Blair	
		US- 2389175	11/20/1945	Woods	
		US- 3078551	02/26/1963	Patriarca et al.	
		US- 3349465	10/31/1967	Pan et al.	
		US- 3710473	01/16/1973	McElwain et al.	
		US- 4125208	11/14/1978	Kuzel	
		US- 4159034	06/26/1979	Bellovary et al.	
		US- 4192374	03/11/1980	Hayden et al.	
		US- 4272006	06/09/1981	Kao	
		US- 4519445	05/28/1985	Norris	
		US- 4877083	10/31/1989	Saperstein	
		US- 5407004	04/18/1995	DeRisi et al.	
		US- 6427768	08/06/2002	Komoda et al.	
		US- 4157155	06/05/1979	Smith	
		US- RE33528	01/29/1991	Doty	
		US- RE35098	11/28/1995	Saperstein	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 01019295 A	01/23/1989	Hitachi Ltd.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.